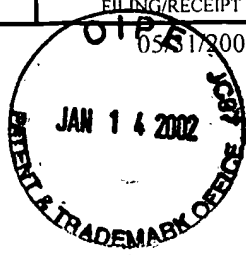




## UNITED STATES PATENT AND TRADEMARK OFFICE

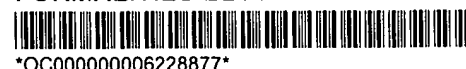
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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,216	05/17/2001	Marcel F.C. Schemmann	11890/2



CONFIRMATION NO. 6854

## FORMALITIES LETTER



\*OC000000006228877\*

Gerard A. Messina  
KENYON & KENYON  
One Broadway  
New York, NY 10004-1050

Date Mailed: 06/26/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

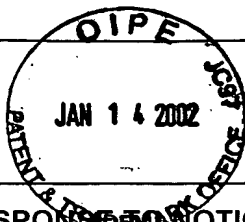
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Initial Patent Examination Division (703) 308-1202

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

<b>RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS -- FILING DATE GRANTED</b>		Docket Number: 11890/2	
Application Number 09/871,216	Filing Date May 31, 2001	Examiner Not Yet Assigned	Art Unit 2874
Invention Title METHOD AND SYSTEM FOR A POLARIZATION MODE DISPERSION OPTICAL HOMODYNE DETECTION SYSTEM WITH OPTIMIZED TRANSMISSION MODULATION		Inventor(s) SCHEMMANN, et al.	

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: 12/21/01

Reg. No. P-48,673

Signature:

*Howard A. Grossman*  
Howard A. Grossman

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.84, enclosed please find ten (10) sheets of formal drawings for Figures 1-10a. A copy of the Notice to File Corrected Application Papers mailed June 26, 2001 is enclosed.

Applicants hereby request a four-month extension of time for responding to the Notice to File Corrected Application Papers mailed on July 26, 2001, which set a two-month period for response. The extended period for response expires on December 26, 2001. Please charge the \$720.00 extension fee to the deposit account of Kenyon & Kenyon, deposit account number 11-0600. The Commissioner is also authorized to charge any additional fees or credit any overpayment in connection with this paper to Deposit Account No. 11-0600.

A duplicate of this sheet is enclosed.

Dated: 12/21/01

By:

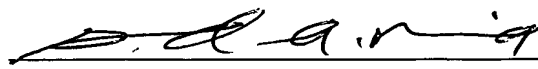
*Howard A. Grossman*  
Howard A. Grossman (Reg. No. 48,673)  
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New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)

01/18/2002 HNDOR1 00000001 110600 09871216  
01 FC:213 720.00 CH

	NUMBER FILED	NUMBER EXTRA*	RATE (\$)	FEE (\$)
BASIC FEE				710.00
TOTAL CLAIMS	51 - 20 =	31	18.00	558.00
INDEPENDENT CLAIMS	14 - 3 =	11	80.00	880.00
MULTIPLE DEPENDENT CLAIM PRESENT			270.00	0.00
*Number extra must be zero or larger			TOTAL	2,148.00
If applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL	1,074.00

7. Please charge the required application filing fee of **\$1,074.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.
8. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:
  - A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
  - D. Any additional document supply fees under 37 C.F.R. § 1.19;
  - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
9. A duplicate copy of this sheet is enclosed.

Dated: 5/31/01

By:   
Gerard A. Messina (Reg. No. 35,952)

Kenyon & Kenyon  
One Broadway  
New York, New York, 10004

**\*26646\***

26646

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